JAP20 Rec'd PCT/PTO 23 JUN 2006

FORM PTO-1390 (Modified) U.S. PATENT AND TRADEMARK OFFICE; U.S. DEPARTMENT OF COMMERCE ATTORNEY'S DOCKET NUMBER TRANSMITTAL LETTER TO THE UNITED STATES 292943US40PCT DESIGNATED/ELECTED OFFICE (DO/EO/US) U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP04/19796 December 24, 2004 December 24, 2003 earliest TITLE OF INVENTION HEAT EXCHANGER AND METHOD FOR MANUFACTURING THE SAME APPLICANT(S) FOR DO/EO/US Kazuhiko MINAMI, et al. Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). If the declaration is in a language other than the English language, it is accompanied by an English translation. The translation is accurate (37 CFR 1.69) An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)). A copy of the International Preliminary Examination Report (PCT/IPEA/409). A copy of the International Search Report (PCT/ISA/210). Items 13 to 23 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. b. Cited References a. PTO-1449 c. Statement of Relevancy d. List of Related Cases. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A FIRST preliminary amendment. A SECOND or SUBSEQUENT preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825. A second copy of the published International Application under 35 U.S.C. 154(d)(4). A second copy of the English language translation of the International Application under 35 U.S.C. 154(d)(4). Other items or information: Notice of Priority (2), PCT/IB/304, Request for Consideration of Documents Cited in International Search 23. Report

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U.S. APPLICATION No. (Prinor peep 37 CFB 1.5) INTERNATIONAL APPLICATION NO.							292943US40PCT		
The following fees are submitted:							CALCULATIONS	PTO USE ONLY	
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24. Basic	natio	nal fee				\$300	\$300.00		
25. Examination fee  If the written opinion prepared by ISA/US or the international preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4) \$0  All other situations \$200							\$200.00		
26. Search fee  If the written opinion of the ISA/US or the International preliminary examination report prepared by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)\$0  Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority							\$400.00		
<del></del>		24, 25 AND	<del></del>				\$900.00		
Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof.									
		ra Sheets Number of each additional 50 or fraction thereof (round up to a whole				RATE			
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CLAIMS		NUMBE	R FILED	NUMBER EXTRA		RATE			
Total claims		24	- 20 =	4	х	\$50.00	\$200.00		
Independent clair	ms	4	- 3=	1	х	\$200.00	\$200.00		
MULTIPLE DEPE	ENDE	ENT CLAIMS	3 (if applicabl	ie)	+	\$360.00	\$360.00		
			TO	TAL OF ABOVE CA	ALC	CULATIONS =	\$1,790.00		
Applicant c	aims	small entity	status. See	e 37 CFR 1.27. Fees abo	ve a	re reduced by 1/2.	\$0.00		
						SUBTOTAL =	\$1,790.00		
				the English translation la	iter t	han 30 months	\$0.00		
TOTAL NATIONAL FEE =							\$1,790.00		
				ent (37 CFR 1.21(h)). The neet (37 CFR 3.28, 3.31).			\$0.00		
Petition fee	e of \$'	<b>1,500.00</b> for	Petition to R	Revive (37 CFR 1.137 (b)	).	+	\$		
				TOTAL FEE	ES E	ENCLOSED =	\$1,790.00		
							Amount to be		
							refunded: Amount to be	\$	
							charged:	\$	
a. A check in the amount of \$ to cover the above fees is enclosed.									
b. Please charge my Deposit Account No. <u>15-0030</u> in the amount of \$ to cover the above fees.									
The Director is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>15-0030</u> . A duplicate copy of this sheet is enclosed.									
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.									
				der 37 CFR 1.495 has no Il Application to pendin			to revive (37 CFR 1.137)	(a) or (b)) must be	
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CUSTOMER NUMBER SIGNAT						SIGNATURE	mon son	<u>a</u>	
						Dishard D. Kally			
Tel. (703) 413-3000						NAME Suillider Sacriar			
Fax. (703) 413-2220 <u>27,757</u>							Registration	No. 34,423	
(OSMMN 1/06) REGISTRATION NUMBER									

## AP20 Rec'd PCT/PTO 23 JUN 2006

**DOCKET NO.: 292943 US** 

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Kazuhiko MINAMI, et al.

SERIAL NO.: NEW U.S. PCT APPLICATION

FILED: HEREWITH

INTERNATIONAL APPLICATION NO.: PCT/JP04/19796 INTERNATIONAL FILING DATE: December 24, 2004

FOR: HEAT EXCHANGER AND METHOD FOR MANUFACTURING THE SAME

## REQUEST FOR CONSIDERATION OF DOCUMENTS CITED IN INTERNATIONAL SEARCH REPORT

Commissioner for Patents Alexandria, Virginia 22313

Sir:

In the matter of the above-identified application for patent, notice is hereby given that applicant(s) request that the Examiner consider the documents cited in the International Search Report according to MPEP §609 and so indicate by a statement in the first Office Action that the information has been considered. When the Form PCT/DO/EO/903 indicates both the search report and copies of the documents are present in the national stage file, there is no requirement for the applicant(s) to submit them (1156 O.G. 91 November 23, 1993).

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C.

Customer Number 22850

(703) 413-3000 Fax No. (703) 413-2220 (OSMMN 08/03) Richard D. Kelly Attorney of Record Registration No. 27,757 Surinder Sachar

Registration No. 34,423